Receipt 7

	ΓENT AND TRADEMARK OFFICE
TRADEMENT Application of:) Oroup Art Unit: 2184
Alok K. Srivastava et al.) Examiner: Unknown
Serial No.: 09/872,647)
Filed: May 31, 2001)
For: METHOD AND MECHANISM FOR DIAGNOSING COMPUTER APPLICATIONS USING TRACES)))

REQUEST FOR CORRECTED FILING RECEIPT

Box Missing Parts Commissioner of Patents Washington, D.C. 20231

Sir:

Applicant respectfully requests that a Corrected Filing Receipt be issued for the above-identified patent application. The application was filed on May 31, 2001, by Express Mail with a Mailing Label No. EL846343437US, as shown by a photocopy of the post office receipt attached as Exhibit A. However, the mailroom of the United States Patent and Trademark Office received-stamped the postcard accompanying the application June 1, 2000. Exhibit B is a photocopy of this

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

	Cindy McMorrow
	Name of Person Mailing Paper
August 27, 2001	Ceace Me Nauron
Date of Deposit	Signature of Person Mailing Paper

returned postcard. This error was subsequently carried to the Filing Receipt. In Exhibit C, please find a photocopy of the Filing Receipt with a change noted thereon.

According to 37 C.F.R. § 1.10(a), any correspondence received by the Patent and Trademark Office that was delivered by Express Mail will be considered filed on the date of deposit with the United States Postal Service. The date of deposit with the Postal Service is shown by the box marked "date-in" on the Express Mail mailing label. Here, the "date-in" box indicates that the above-mentioned application was filed on May 31, 2001. As such, Applicant respectfully requests that a Corrected Filing Receipt be issued for the application indicating the filing date as May 31, 2001.

Applicant believes that no fee is necessary at this time. However, if any fees are associated with this Request, the Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Lyon & Lyon's Deposit Account No. 12-2475.

Respectfully submitted,

LYON&LYON LLP

Dated:

By:

Peter C. Mei Reg. No. 39,768

633 West Fifth Street, Suite 4700 Los Angeles, California 90071-2066 (408) 993-1555

EXPRESS POST OFFICE UNITED STATES POSTAL SERVICE® TO ADDRESSEE	ZU TELEGEGE ZE
ORIGIN (POSTAL USE ONLY) PO ZIP Coda Day of Delivery Next Second Postage No Delivery Postage Int'l Alpha Country Code Ozs. No Delivery Acceptance Clerk Initials Total Postage & Fees	SEE REVERSE SIDE FOR SERVICE GUARANTEE AND LIMITS ON INSURANCE COVERAGE Of signature is a good of the control of the contro
CUSTOMER USE ONLY METHOD OF PAYMENT: Excress Met Corporate Acct. No.	Footel Agency Acct. No. or Postal Service Acct. No.
LYON & LYON LLP 333 A SAM CARLOS ST STE 800 5AM JOSE CA 95110-2711	ASSISTANT COMMISSIONER OF PATENTS MASHINGTON DC 20231-0001
RCMICAN GRACK 254254 J FOR PICKUP OR TRACKING CALL 1-800	lar Patent apriention

ث



Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant:

Alok K. Srivastava and Surojit Chatterjee

Serial No.:

Not Yet Assigned

For:

METHOD AND MECHANISM FOR DIAGNOSING COMPUTER

APPLICATIONS USING TRACES May 31, 2001

Filed:

NEW PATENT APPLICATION TRANSMITTAL UTILITY

Attorney(s): Docket No.:

Date of Deposit:

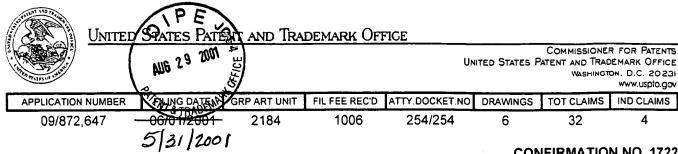
Express Mail No. Enclosure(s):

Peter C. Mei 254/254

May 31, 2001 EL846343437US

(3pgs) Transmittal Letter; (1pg) Request and Confection, 24pgs) written description; (4pgs) claims; (1pg) Abstract; (6shts) drawings; (2pgs) Declaration; (1pg) POA; (1pg) Assignment Recordation Cover Sheet; (2pgs) Assignment; check nos. 14086 & 4002; and return receipt postcard.

PTO



22249 LYON & LYON LLP **SUITE 4700** 633 WEST FIFTH STREET LOS ANGELES, CA 90071-2066 **CONFIRMATION NO. 1722**

FILING RECEIPT *OC000000006379803*

Date Mailed: 08/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alok K. Srlvastava, Newark, CA; Surojit Chatterjee, Mountain View, CA;

Assignment For Published Patent Application

Oracle Corporation;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/02/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

RECEIVED

AUG - 6 2001

U.S. PROSECUTION

Title

Method and mechanism for diagnosing computer applications using traces

Preliminary Class

Data entry by : GEREMEW, FIKIRTE

Team : OIPE

Date: 08/03/2001



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231